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Allan I Solomon
Faculty of Mathematics
Open University
Milton Keynes
UK

and

Joseph L Birman
Physics Department
City College, CUNY
New York 10031
USA

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INDENT

AUTHOR(S)

AFFILIATIONS

Joseph L. Birman

Dept. of Physics, City College, C.U.N.Y., New York, NY 10031, USA

and

Allan I. Solomon

Faculty of Mathematics, The Open University, Milton Keynes, U.K.

ABSTRACT

The mean-field Hamiltonian for a many-fermion system is an element in a Dynamical Algebra - a classical Lie Algebra. The thermal Matsubara and T=0 Green Functions are sums of products of factors determined by certain structure constants of the algebra. These describe the automorphisms of the Algebra.

In the mean-field approximation the Hamiltonian of an interacting many-fermion system is a sum of bilinear products of fermion creation/destruction operators with complex coefficients. This applies for systems such as a singlet BCS superconductor; and a coexisting charge-density-wave superconductor; and coexisting charge - and spin-density-wave superconductor. The Hamiltonian can be taken as

$$H = \sum_k H_k$$

where (k) is a wave-vector index. We define a basis (A_i) by relabelling the standard Fermi operators as $(A_1, A_2, \dots) = (a_{k\uparrow}, a_{-k\downarrow}, \dots)$. Then $H_k = \sum_{ij} \lambda_{ij} X_{ij}$ with $X_{ij} = A_i^\dagger A_j$. The matrix λ with $(\lambda)_{ij} = \lambda_{ij}$ represents the complex coupling coefficients. The set of all pairs X_{ij} closes under Lie Bracket: $[X_{ij}, X_{lm}] = X_{im} \delta_{lj} - X_{lj} \delta_{im}$. If $(i,j) = 1\dots N$ the algebra is an $SO(4N)$, (or D series) algebra. The set of all singles (A_i) and (A_i^\dagger) plus all pairs (X_{ij}) generates an $SO(4N+1)$ (or

B series) algebra under Lie Bracket. Evidently the Hamiltonian H_k in the mean-field approximation is an element in the $SO(4N)$ algebra. If we pass to a Cartan-Weyl realization of the basis, then H_k can be re-written as

$$H_k = \sum_j \mu_j h_j + \sum_{\alpha^\pm} \mu_\alpha e_\alpha \quad (1)$$

where the (h_j, e_α) are the generators in C-W form and (μ_j, μ_α) are some combinations of λ_{ij} .

The $T=0$ Green Function can be written, (suppressing spin) as

$$G(k, t) = -i \langle \Phi' | T_t a_k(t) a_k^\dagger | \Phi' \rangle \quad (2)$$

here $|\Phi'\rangle$ is the (mean-field) ground state of H_k , and

$$a_k(t) = (\exp i H_k t) a_k (\exp -i H_k t) \quad (3)$$

Using the (A_i) notation we find

$$a_k(t) = A_r(t) = \sum_j (e^{-it\lambda})_{rj} A_j \quad (4)$$

so,

$$a_k(t) a_k^\dagger \equiv A_r(t) A_r^\dagger = \sum_j (e^{-it\lambda})_{rj} X_{rj}^\dagger \quad (5)$$

Examining the above we can identify the matrix elements $(e^{-it\lambda})_{rj}$ as "structure constants" of an "Heisenberg" automorphism ϕ :

$A_r \xrightarrow{\phi} \{A_r\}$ of single fermion operators onto themselves in the B algebra.

The H_k can be rotated to "diagonal" form: $U H_k U^{-1} = \sum_j \gamma_j h_j \equiv H'_k$, by means of a unitary transformation $U = \exp i \left(\sum_\alpha \theta_\alpha e_\alpha^\dagger \right)$, with coefficients θ_α . We can identify the coefficients γ_j as a second set of "structure constants" of an automorphism ϕ' of pairs of fermion operators onto themselves: $(h_j, e_\alpha) \xrightarrow{\phi'} (h_j)$ produced by the mapping U .

After rotation H_k is a sum of generators (h_j) of the Cartan sub-algebra of D. It is natural to label the kets $|\{\lambda\}\rangle$ of H'_k by eigen-values of the h_j : $h_j |\lambda'\rangle = \lambda'_j |\lambda'\rangle$ where (λ') are the lowest eigen-values. In terms of the eigenfunction $|\{\lambda'\}\rangle$ the state $|\Phi'\rangle$ is $|\Phi'\rangle = U^{-1} |\{\lambda'\}\rangle$.

The Green Function $G(k, t)$ can be written for $t > 0$ as

$$-i \sum_j (e^{-it\lambda})_{rj} \langle \{\lambda'\} | U X_{rj}^\dagger U^{-1} | \{\lambda'\} \rangle \quad (6)$$

But $U X_{rj}^\dagger U^{-1} = U(h_\lambda, e_\beta) U^{-1}$ which is again an automorphism in $D_{\{h_\lambda, e_\beta\}}$ $\not\in \{h_\lambda, e_\beta\}$ with structure constants $\{Y_\lambda\}$

$$U(h_\lambda, e_\beta) U^{-1} = \sum_j Y_j h_j + \sum_{\alpha \pm} Y_\alpha e_\alpha \quad (7)$$

Taking the diagonal matrix element of (7) only the terms $\sum_j Y_j h_j$ contribute. Then for $t > 0$

$$G(k, t) = (-i) \sum_j (e^{-it\lambda})_{rj} \sum_\lambda Y_\lambda \lambda' \quad (8)$$

with a similar expression for $t < 0$. This result gives the factorization of $G(k, t)$ into a sum of products of factors determined by the Dynamical Algebras (D and B), namely:

- (1) Structure constants $(e^{-it\lambda})$ of ϕ ;
- (2) Structure constants Y_λ of ϕ' ;
- (3) Eigenvalues $\{\lambda'\}$ of the operators h_λ of the Cartan sub-algebra.

A similar factorization applies for the $T=0$ Green function ($T \neq 0$) is defined as function $F(k, t) = \langle \phi' | T_t a_k(t) a_{-k}^\dagger | \phi' \rangle$

The Thermal Matsubara Green Function ($T \neq 0$) is defined as

$$G(k, \tau) \equiv - \langle T_\tau a_k(\tau) a_{-k}^\dagger \rangle \quad (9)$$

with ($\tau = it$) and in this case the thermal average is defined as

$$\langle \hat{O} \rangle \equiv \text{Tr} (e^{-\beta H_0}) / \text{Tr} e^{-\beta H} \quad (10)$$

A similar analysis as in the $T=0$ case gives ($\tau > 0$).

$$G(k, \tau) = \sum_j (e^{-\tau\lambda})_{rj} \left(\sum_{\{\lambda_p\}} \left(\sum_p e^{-\beta E_p^\lambda} Y_{p,p}^\lambda \right) \right) e^{-\beta E_p^\lambda} \quad (11)$$

with a similar expression for $\tau < 0$. Again, $G(k, \tau)$ is constructed as a sum of terms each of which is composed of factors which are symmetry

determined from structure constants of automorphisms of the Dynamical Algebra and eigenvalues of the generators (h_ℓ). Mutatis mutandis the thermal Gor'kov anomalous function has a similar structure.

Details of the analysis and illustrations for simple BCS-like theory of superconductivity are given elsewhere^{1), 2)}.

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