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Smooth Perturbations of One-Parameter Groups on  $W^*$ -Algebras

David Evans

School of Theoretical Physics  
Dublin Institute for Advanced Studies  
Dublin 4, Ireland.

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Abstract.

We are concerned with the smooth perturbation of one-parameter groups on von Neumann algebras.

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## 1. Introduction

We investigate the perturbation, similarity and scattering of weakly continuous one-parameter groups of ultraweakly continuous operators on  $W^*$ -algebras. In a previous paper [2] we showed how to extend Lin's [5] time dependant reflexive Banach space theory of the smooth perturbation of generators of strongly continuous semi-groups to the possibly non-reflexive situation. We then saw that this theory could handle a particular smoothness condition (namely  $p = 1$ ) on the preduals of  $W^*$ -algebras. In this paper we are concerned with another class of smooth perturbations (namely  $1 < p < \infty$ ). However, due to technical problems, we apply our method only to type I -  $W^*$ -algebras with atomic centre.

If  $\sigma_t$  is a weakly continuous one parameter group of ultraweakly continuous linear maps on a von Neumann algebra  $M$ , let  $iT$  denote the infinitesimal generator of the strongly continuous one-parameter group  $(\sigma_t)_*$  acting on the predual  $M_*$ . For various unbounded operators  $\beta$  and  $\alpha$ , acting on  $M$ , we seek for small complex  $K$ , a family of weakly continuous one parameter groups  $\sigma_t^K$ , such that the infinitesimal generator  $iT(K)$  of  $(\sigma_t^K)_*$  can be interpreted as the formal symbol  $i(T + K\alpha_*\beta_*)$ . As in [1,2] our method is based on the theory of Lin for the problem at the hilbert space level [5], using the one parameter groups  $\sigma_t$  themselves, rather than the resolvents  $R(\lambda, T)$  as Kato does [4].

$\beta$  and  $\alpha$  can represent left multiplication operators  $L_B, L_A$  respectively, where  $B$  and  $A$  are unbounded operators affiliated with  $M$ . In general, if  $\sigma_t$  is a group of \*-automorphisms, the perturbation  $\sigma_t^K$  will not be a group of \*-automorphisms for small (real)  $K$ . By considering another perturbation of multiplication on the right,  $(R_{B^*}, R_{A^*})$ , it is sometimes possible to combine these two perturbations to give one, namely  $\tau_t^K$ , which is a weakly continuous group of \*-automorphisms of  $M$  for small real  $K$ . Moreover, the infinitesimal generator of  $(\tau_t^K)_*$  represents the formal symbol  $i[T + K((\alpha)_*\beta_* - (\bar{\alpha})_*(\bar{\beta})_*)]$ .

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## 2. Notation.

If  $X$  and  $Y$  are Banach spaces,  $\mathcal{C}(X, Y)$  (respectively  $B(X, Y)$ ,  $C(X, Y)$ ) will denote the closed, densely defined (respectively bounded, compact) linear operators from  $X$  into  $Y$ . We put  $\mathcal{C}(X) = \mathcal{C}(X, X)$  etc.; and  $\mathcal{C}_+(X)$  to be those  $T$  in  $\mathcal{C}(X)$  such that  $-iT$  generates a strongly continuous semigroup. Then let  $\mathcal{C}_-(X) = -\mathcal{C}_+(X)$ . If  $D \in \mathcal{C}(X, Y)$  then  $D^*$  is a linear map from  $Y^*$  into  $X^*$  which is closed and densely defined for the weak  $*$ -topologies [3, 7]. Similarly, let  $C$  be a linear map from  $Y^*$  into  $X^*$  which is closed and densely defined for the weak  $*$ -topologies. If  $x \in X$ , we say  $x \in D(C_*)$  if there exists  $y$  in  $Y$  such that

$$\langle C\rho, x \rangle = \langle \rho, y \rangle \quad \rho \in D(C).$$

and define  $C_*x = y$ . Then  $C_* \in \mathcal{C}(X, Y)$ . If  $T$  is any map with domain  $D$  in  $X$  and codomain in  $Y$ , then the extended norm is defined by setting

$$\|Tx\| = \infty \quad \text{if } x \in X \setminus D.$$

### 3. Preliminaries.

In this section we develop some new notation and tools for our perturbation theory, which we shall find particularly useful to handle the smoothness conditions we impose on our system.

For simplicity and clarity of notation, we will only consider groups, rather than semigroups as in [2, 5].

Now suppose  $\mathcal{H}$  is a separable hilbert space and  $\pm T \in \mathcal{E}_+(\mathcal{H})$ . If  $A \in \mathcal{E}(\mathcal{H})$  is  $(T, -T, q)$  [2, 5] smooth where  $1 < q < \infty$ , we have seen in [2] the difficulties which arise when considering smoothness in this system at an algebra level. However, if we let

$$\sigma_t(x) = e^{-itT} x e^{itT}, \quad x \in B(\mathcal{H}),$$

then for all  $x$  in  $B(\mathcal{H})$ ,  $\xi \in \mathcal{H}$ ,  $\sigma_t(x) e^{-itT} \xi \in D_A$  a.e. in  $\mathbb{R}^+$ ,

$$\text{and } \left\{ \int_0^\infty \| A \sigma_t(x) e^{-itT} \xi \|^q dt \right\}^{1/q} \leq |A| \|x\| \|\xi\|.$$

Our perturbation theorem is based on these "weak smoothness" conditions, and a few calculations by the reader will show that we have raised [5, Theorem 3.1] to the algebra level. It is useful to keep this motivation in mind.

We are thus led to study spaces such as

$$\mathcal{Y} = B(\mathcal{H}, L^q(\mathbb{R}^+; \mathcal{H})) \quad \text{where } 1 < q < \infty.$$

Then  $\mathcal{Y}$  is a dual Banach space, with predual

$$\mathcal{Z} = \mathcal{H} \otimes^v \overline{L^p(\mathbb{R}^+; \mathcal{H})}, \text{ the projective tensor product, where } 1/p + 1/q = 1.$$

Again  $\mathcal{Z}$  is a dual Banach space if we take

$$\mathcal{Z}_* = \mathcal{H} \otimes^{\wedge} \overline{L^q(\mathbb{R}^+; \mathcal{H})}, \text{ the injective tensor product.}$$

Let  $V$  be the space of all operators on  $\mathcal{H}$ . An element of  $V$  is not necessarily

closed, densely defined or even linear. Then  $\mathcal{Y}$  can be identified with the space of  $V$ -valued functions  $f$  on  $\mathbb{R}^+$  satisfying

(3.1) If  $\xi \in \mathcal{H}$ , then  $\xi \in D(f(s))$  a.e. Note this null set may depend on  $\xi$ .

(3.2) If  $\alpha, \beta \in \mathbb{C}$ , and  $\xi, \eta \in \mathcal{H}$ , then

$$f(s)(\alpha\xi + \beta\eta) = \alpha f(s)\xi + \beta f(s)\eta \quad \text{a.e. (depending on } \alpha, \beta, \xi, \eta).$$

(3.3) For each  $\xi \in \mathcal{H}$ ,  $s \mapsto f(s)\xi$  is measurable, and there exists a finite  $M$  independent of  $\xi$  satisfying

$$\int_0^\infty \|f(s)\xi\|^q ds \leq M^q \|\xi\|^q, \quad \xi \in \mathcal{H} \quad (3.4)$$

If  $f \in \mathcal{Y}$ ,  $\|f\|$  is the infimum of all  $M$  satisfying (3.4).

Now let  $M$  be a von Neumann algebra acting on the separable Hilbert space  $\mathcal{H}$ . Then  $M$  is ultraweakly countably generated in the sense that there is a countable subset  $R$  of  $M$ , such that the linear span of  $R$  is  $\sigma(M, M_*)$  dense in  $M$  [6]. Define  $\mathcal{Y}_M$  to be the closed subspace of  $\mathcal{Y}$  consisting of these elements which commute with the action of  $M'$  on  $\mathcal{H}$  and  $L^q(\mathbb{R}^+; \mathcal{H})$ . i.e.  $f \in \mathcal{Y}_M$  is in  $\mathcal{Y}_M$  iff for each  $\xi$  in  $\mathcal{H}$ , and  $m'$  in  $M'$ , that

$$f(s)(m'\xi) = m' f(s)\xi \quad \text{a.e. (depending on } m' \text{ and } \xi).$$

Now  $\mathcal{Y}_m$  is a  $\sigma(\mathcal{Y}, \mathcal{X})$  closed subspace of  $\mathcal{Y}$ . Since if  $f_\alpha \rightarrow f \in \sigma(\mathcal{Y}, \mathcal{X})$ , where  $f_\alpha \in \mathcal{Y}_M$ ,  $f \in \mathcal{Y}_M$ ; then for all  $\xi, \eta \in \mathcal{H}$ ,  $h \in L^p(\mathbb{R}^+)$ ,  $m' \in M'$ ,

$$\begin{aligned} \int \langle f(s)m'\xi, \eta \rangle h(s) ds &= \lim_{\alpha} \int \langle f_\alpha(s)m'\xi, \eta \rangle h(s) ds \\ &= \lim_{\alpha} \int \langle m'f(s)\xi, \eta \rangle h(s) ds \\ &= \int \langle m'f(s)\xi, \eta \rangle h(s) ds. \end{aligned}$$

Thus  $f \in \mathcal{Y}_M$ .

Hence  $(\mathcal{Z}/\mathcal{Y}_M^0)$  is isometrically isomorphic to  $\mathcal{Y}_M$ , where  $\mathcal{Y}_M^0$  denotes the polar of  $\mathcal{Y}_M$  in  $\mathcal{Z}$ . Moreover, if  $i_M$  denotes the canonical injection of  $\mathcal{Y}_M$  in  $\mathcal{Y}$ , and if  $\mathcal{Z}_M$  is defined as  $i_M^* \mathcal{Z}$  (the restriction of  $\mathcal{Z}$  to  $\mathcal{Y}_M$ ), then  $\mathcal{Z}_M$  is isometrically isomorphic to  $\mathcal{Z}/\mathcal{Y}_M^0$ . Thus  $\mathcal{Z}_M$  is a closed subspace of  $\mathcal{Y}_M^*$ , and if  $z_0 \in \mathcal{Z}_M$ , then

$$(3.5) \quad \|z_0\| = \inf\{\|x\| : x \in \mathcal{Z} \text{ s.t. } i_M^*(x) = z_0\}.$$

Now if  $z = \sum_{i=1}^{\infty} \xi_i \otimes \bar{h}_i$  is in  $\mathcal{Z}$ , where  $\xi_i \in \mathcal{X}$ ,  $h_i \in L^p(\mathbb{R}^+; \mathcal{H})$ , for  $i = 1, 2, \dots$  and  $\sum_i \|\xi_i\| \cdot \|h_i\| < \infty$ , then

$$\left\{ \int \left( \sum_i \|\xi_i\| \|h_i(s)\|^p \right)^{1/p} ds \leq \sum_{i=1}^{\infty} \left\{ \int \left( \|\xi_i\| \|h_i(s)\| \right)^p ds \right\}^{1/p} \right. \\ \left. = \sum_i \|\xi_i\| \|h_i\| < \infty \right.$$

Hence  $\sum_i \|\xi_i\| \|h_i(s)\| < \infty$  a.e. in  $\mathbb{R}^+$ , and we can define

$$z(s) = \sum_i \xi_i \otimes h_i(s)^-$$

a.e. in  $\mathcal{H} \otimes \bar{\mathcal{H}}$  =  $T(\mathcal{X})$ , the trace class operators on  $\mathcal{H}$ .

Note that  $z(s)$  is uniquely determined by  $z$  a.e., s.t.  $z(s)$  is measurable, and

$$(3.6) \quad \left\{ \int \|z(s)\|^p ds \right\}^{1/p} \leq \|z\|.$$

If  $f \in \mathcal{Y}$  is bounded a.e. (e.g. if  $f$  is actually in  $L^q(\mathbb{R}^+; B(\mathcal{H}))$ ) then

$$\langle f, z \rangle = \sum_i \int \langle f(s) \xi_i, h_i(s) \rangle ds = \int \langle f(s), z(s) \rangle ds.$$

Similarly, we consider  $\mathcal{Z}_M$ . If  $x \in \mathcal{Z}_M$ , then  $x = i_M^*(z)$ , for some  $z$  in  $\mathcal{Z}$ .

Then  $z(s) \in T(\mathcal{X})$  determines an element  $x(s)$  in  $M_*$  a.e. Moreover  $x(s)$  is uniquely determined by  $x$  a.e., and  $x(\cdot)$  is an element of  $L^p(\mathbb{R}^+; M_*)$ . It is clear that whenever  $x = i_M^*(z_1)$ , where  $z_1 \in \mathcal{Z}$ , that

$$\langle x(s), m \rangle = \langle z_1(s), m \rangle \quad \text{a.e. in } \mathbb{R}^+, \text{ for any } m \text{ in } M.$$

REMARK 3.7. We thus have a linear map  $x \mapsto x(\cdot)$  from  $\mathcal{Z}_M$  into  $L^P(\mathbb{R}^+; M_*)$ . This is norm reducing by 3.5 and 3.6:

$$\|x(\cdot)\| \leq \|x\| \quad (3.8)$$

Then if  $f \in \mathcal{Y}_M$ , and  $f(s) \in M$  a.e. (e.g. if  $f$  is actually in  $L^q(\mathbb{R}^+; M)$ ), then for all  $z$  in  $\mathcal{Z}_M$

$$\langle f, z \rangle = \int \langle f(s), z(s) \rangle \, ds.$$

If  $f \in \mathcal{Y}_M$ ,  $z \in \mathcal{Z}_M$ , the notation  $f(s) \in V$ ,  $z(s) \in M_*$  will be used extensively throughout this paper.

We shall see during the proof of Theorem 5.1. that technical problems lead us to restrict our attention to consider only von Neumann algebras which satisfy the following hypothesis.

HYPOTHESIS 3.9.

We say  $M$  satisfies this hypothesis if whenever  $\phi(s) \in M_*$  a.e. in  $\mathbb{R}^+$ , and  $x_n, n = 1, 2, \dots$  is a sequence in  $\mathcal{Z}_M$  such that

i)  $x_n(s) = \phi(s)$  a.e. in  $[0, n], n = 1, 2, \dots$

ii)  $\|x_n\| \leq T < \infty$ , for all  $n$ ,

then there exists an  $x$  in  $\mathcal{Z}_M$  satisfying

a)  $x(s) = \phi(s)$  a.e. in  $\mathbb{R}^+$ .

b)  $\|x\| \leq T$ .

PROPOSITION 3.10.

Suppose  $\mathcal{H} = \bigoplus_{i=1}^{\infty} \mathcal{H}_i$ , where  $\mathcal{H}_i$  are separable Hilbert spaces; then  $M = \bigoplus_i B(\mathcal{H}_i)$  satisfies hypothesis 3.9.



PROOF.

It is clear that  $y_M = \bigoplus_{i=1}^{\infty} y_{B(\mu_i)}$  where  $\| (f_i) \| = \sup \| f_i \|$ ,  $f_i \in y_{B(\mu_i)}$ ,

and  $z_M = \bigoplus_{i=1}^{\infty} z_{B(\mu_i)}$  where  $\| (z_i) \| = \sum \| z_i \|$ ,  $z_i \in z_{B(\mu_i)}$ .

Now  $z_{B(\mu_i)} = (X_i)^*$ , where  $X_i = \mu_i \wedge \underline{L^q(\mathbb{R}^+; \mu_i)}$ ,  $i = 1, 2, \dots$ .

Suppose  $\phi(s) \in M_*$  a.e., and  $X_n = (X_n^i)_{i=1}^{\infty}$  is a sequence in  $\mathcal{Z}_M$  such that

i)  $X_n(s) = \phi(s)$  a.e. in  $[0, n]$

ii)  $\| X_n \| \leq T < \infty$  all  $n$ .

Then  $X_n^m \in (X_n)^*$ ,  $m, n = 1, 2, \dots$

Hence there exists a subsequence  $n_k$  and  $x^m$  in  $(X_n)^*$  such that

$$X_{n_k}^m \rightarrow x^m \quad \sigma(X_m^*, X_m) \quad \text{as } k \rightarrow \infty.$$

Thus for each finite  $N$

$$(X_{n_k}^m)_{m=1}^N \rightarrow (X_m^m)_{m=1}^N \quad \sigma\left(\left(\bigoplus_{m=1}^N X_m\right)^*, \bigoplus_{m=1}^N X_m\right) \quad \text{as } k \rightarrow \infty.$$

Thus  $\sum_{m=1}^N \| x^m \| \leq T$  for all  $N$ , and hence

$$x = (x^m) \in \mathcal{Z}_M = \bigoplus_{m=1}^{\infty} (X_m)^*, \quad \text{with } \| x \| \leq T.$$

Moreover  $\langle x^m, \psi \rangle = \lim_{k \rightarrow \infty} \langle X_{n_k}^m, \psi \rangle$

for all  $\psi \in X_m = \mu_m \wedge \underline{L^q(\mathbb{R}^+; \mu_m)}$ .

Using property i) above, it follows easily that

$$\phi(s) = (x^m(s)) \quad \text{a.e. in } \mathbb{R}^+,$$

i.e.  $\phi(s) = x(s)$  a.e.

4. *Smoothness in operator algebras.*

Having set up our apparatus, we proceed to make our smoothness definitions. We will use the notation of the previous section with  $q$  fixed in  $(1, \infty)$ . Let  $M$  be a von Neumann algebra acting on a separable Hilbert space  $\mathcal{H}$ , (and thus is ultraweakly countably generated). Let  $\sigma_t$  be an ultraweakly continuous one-parameter group of ultraweakly continuous bounded linear maps on  $M$  (i.e. each  $\sigma_t$  is a  $\sigma(M, M_*)$  continuous operator on  $M$ , and  $t \mapsto \langle \sigma_t(x), \phi \rangle$  is continuous for all  $x$  in  $M$ ,  $\phi$  in  $M_*$ ). For brevity, we will always refer to such a group as a weakly continuous group on  $M$ . We define  $iT$  to be the infinitesimal generator of  $(\sigma_t)_*$ , which is a strongly continuous group on the predual  $M_*$ . We will eventually perturb such a one-parameter group. This perturbation of  $T$  will be of the form  $k\alpha_*\beta_*$  where  $k$  is complex and small, and  $\beta, \alpha$  are smooth operators on  $M$  to be defined. (For simplicity, we take the domain of  $\beta$  and the codomain of  $\alpha$  to be in  $M$ .)

In order to have smooth conditions which are practical, we introduce an auxiliary weakly continuous one parameter group  $\Gamma_t$  on  $M$ , which satisfies the following:

- (4.1) There exists a family  $\{u_t : t \in \mathbb{R}\}$  in  $B(\mathcal{H})$ , such that  $u_t$  is invertible a.e. and
- i)  $\Gamma_t(x) = u_t x u_t^{-1}$  a.e. ,  $\forall x \in B(\mathcal{H})$  .
  - ii)  $\|u_t\|, \|u_t^{-1}\| \leq M_0 < \infty$ , for all  $t$  in  $\mathbb{R}$ .

We then let  $v_t = u_t^{-1}$ .

Let  $A \in \mathcal{E}(\mathcal{H})$  be affiliated with  $M$ .

DEFINITION 4.2.

We define the left multiplication operator  $\alpha_A = \alpha$  on  $M$ , associated with  $A$ , as follows:

We put  $D_\alpha = \{x \in M : x\mathcal{H} \subseteq D_A\}$ , and  $\alpha x = Ax$  for  $x \in D_\alpha$ .

Then  $\alpha : D_\alpha \rightarrow M$  is a linear operator on  $M$  which is closed and densely defined for the ultraweak topology.

DEFINITION 4.3.

We say  $\alpha$  is  $(\sigma, \Gamma, q, +)$  smooth if

- 1) There exists  $Y \in B(M, \mathcal{Q}_M)$
- 2) For all  $u$  in  $M$ ,  $\xi$  in  $\mathcal{H}$ ,  $\sigma_{-t}(u)v_t\xi \in D_A$  a.e. in  $\mathbb{R}^+$  (depending on  $u, \xi$ ) such that

$$[Y(u)](s) \xi = \int_t^s \sigma_{-t}(u)v_t\xi \quad \text{a.e. in } \mathbb{R}^+.$$

We then define  $|\alpha|_{(\sigma, \Gamma, q, +)}$  to be  $\|Y\|$ .

$Y(u)$  is formally written as  $\Gamma_t^+(\Lambda\sigma_{-t}(u))$ .

Similarly, we define  $(\sigma, \Gamma, q, -)$  smoothness with associated function

$\hat{Y}$  in  $B(M, \mathcal{Q}_M)$ . If  $\alpha$  is  $(\sigma, \Gamma, q, \pm)$  smooth, we say  $\alpha$  is  $(\sigma, \Gamma, q)$  smooth

and set

$$|\alpha|_{(\sigma, \Gamma, q)} = \max \{ |\alpha|_{(\sigma, \Gamma, q, +)}, |\alpha|_{(\sigma, \Gamma, q, -)} \}.$$

Let  $\beta: M \rightarrow M$  be a linear operator on  $M$ , which is closed and densely

defined for the ultraweak topology.  $p$  is given by  $1/p + 1/q = 1$ .

DEFINITION 4.4.

We say  $\beta_*$  is  $(\sigma, \Gamma, p, +)_*$  smooth if for each  $r > 0$

- i) There exists  $Z_r \in B(M_*, \mathcal{Z}_M)$  with  $\sup_{r>0} \|Z_r\| < \infty$
  - ii) For each  $\phi \in M_*$ ,  $e^{-itT} \phi \in D_{\beta_*}$  a.e. in  $\mathbb{R}^+$
- such that

$$[Z_r(\phi)](s) = (\Gamma_{-s}^+)_* \beta_* e^{-i(r-s)T} \phi \chi_{(0,r)}(s).$$

We then define  $|\beta_*|_{(\sigma, \Gamma, p, +)_*} = \sup_{r > 0} \|Z_r\|$

Similarly, we define  $(\sigma, \Gamma, p, -)_*$  smoothness, with associated functions  $\hat{Z}_r$  in  $B(M_*, \mathcal{X}_M)$ . If  $\beta_*$  is  $(\sigma, \Gamma, p, \pm)_*$  smooth we say  $\beta_*$  is  $(\sigma, \Gamma, p)_*$  smooth and set

$$|\beta_*|_{(\sigma, \Gamma, p)_*} = \max \{ |\beta_*|_{(\sigma, \Gamma, p, +)_*}, |\beta_*|_{(\sigma, \Gamma, p, -)_*} \} .$$

We take  $Z_0 = \hat{Z}_0 = 0$ .

Now fix  $f$  in  $\mathcal{Y}_M$ ,  $r > 0$  and suppose  $\beta_*$  is  $(\sigma, \Gamma, p)_*$  smooth. Then for all  $\phi$  in  $M_*$ ,

$$| \langle f, Z_r(\phi) \rangle | \leq \|f\| |\beta_*| \|\phi\| .$$

Moreover  $\phi \rightarrow \langle f, Z_r(\phi) \rangle$  is linear. Hence there exists  $m^f(r)$  in  $M = (M_*)^*$  such that

$$(4.5) \quad \langle m^f(r), \phi \rangle = \langle f, Z_r(\phi) \rangle, \quad \phi \in M_*, \quad r \geq 0, \quad f \in \mathcal{Y}_M;$$

and similarly  $\hat{m}^f(r)$  in  $M$  satisfying

$$(4.6) \quad \langle \hat{m}^f(r), \phi \rangle = \langle f, \hat{Z}_r(\phi) \rangle, \quad \phi \in M_*, \quad r \geq 0, \quad f \in \mathcal{Y}_M .$$

DEFINITION 4.7.

If  $A \in \mathcal{C}(X)$  is affiliated with  $M$ ,  $\alpha = \alpha_A$ , and  $\beta_*$  is  $(\sigma, \Gamma, p)_*$  smooth, we define an operator symbolically denoted by  $(\alpha_{-(\cdot, j)} \beta)_\theta$  as follows:

If  $f \in \mathcal{Y}_M$ , let  $m^f(r)$  be the  $M$  valued function on  $\mathbb{R}^+$  as defined in 4.5 . If

i) For each  $\xi \in \mathcal{X}$ ,  $m^f(r) v_r \xi \in D_A$  a.e. (depending on  $\xi$ )

ii) There exists  $g \in \mathcal{Y}_M$ , such that for each  $\xi \in \mathcal{X}$ ,

$$g(s)\xi = \int_S^A m^f(s) v_s \xi \quad \text{a.e.} \quad (\text{depending on } f, \xi)$$

then we say  $f \in D(\alpha_{-(\cdot, j)} \beta_\theta)$  and put  $(\alpha_{-(\cdot, j)} \beta_\theta) f = g$ .

We have the following comments to make regarding the system described in 4.7.

REMARKS 4.8.

a) We can similarly define  $(\alpha_{+(\cdot)}\beta)\theta$  on  $\mathcal{Y}_M$ .

b) Suppose  $f \in \mathcal{Y}_M$  is in  $D(\alpha_{-(\cdot)}\beta\theta)$ .

Take  $\xi, \eta \in \mathcal{X}$ , and define  $\phi \in M_*$  by  $\phi m = \langle m\xi, \eta \rangle$   $m \in M$ .

Then if  $C = \alpha_{-(\cdot)}\beta\theta$  and  $m^f$  is as defined in (4.5)

$$\langle (Cf)(r)\xi, \eta \rangle = \langle u_r A m^f(r) v_r \xi, \eta \rangle \quad \text{a.e. (depending on } \xi).$$

Take  $Q_n \in M$ , such that  $Q_n \rightarrow 1$   $\sigma(M, M_*)$  and  $AQ_n$  is bounded for each  $n$ , and if  $\xi \in D_A$  then  $AQ_n \xi \rightarrow A\xi$ .

Then if  $(\Gamma_r)_* \phi \in D_{\alpha_*}$  a.e., we have

$$\begin{aligned} \langle (Cf)(r)\xi, \eta \rangle &= \lim_{n \rightarrow \infty} \langle u_r [AQ_n] m^f(r) v_r \xi, \eta \rangle \\ &= \lim_{n \rightarrow \infty} \langle AQ_n m^f(r), (\Gamma_r)_* \phi \rangle \\ &= \lim_{n \rightarrow \infty} \langle Q_n m^f(r), \alpha_*(\Gamma_r)_* \phi \rangle \\ &= \langle m^f(r), \alpha_*(\Gamma_r)_* \phi \rangle \quad \text{a.e. (depending on } \phi \in M_*) \end{aligned}$$

c) It is clear that there exists more than one sequence of projections  $P_n$  in  $M$  such that

- i)  $P_n \rightarrow 1$   $\sigma(M, M_*)$
- ii)  $P_n A$  is bounded for each  $n$ .

Then if  $f \in \mathcal{Y}_M$ , we define

$$f_n(s)\xi = \Gamma_{S P_n} [f(t)]\xi \quad \text{a.e.}$$

Then  $f_n \in \mathcal{Y}_M$ , and if  $z = \sum \xi_i \otimes \bar{h}_i \in \mathcal{Z}$ , where  $\xi_i \in \mathcal{X}$ ,  $h_i \in L^P(\mathbb{R}^+; \mathcal{X})$  and  $\sum \|\xi_i\| \cdot \|h_i\| < \infty$ , we have

$$\sum_i |\langle f_n(t)\xi_i, h_i(t) \rangle| \leq \sum_i (M_0)^2 \|f(t)\xi_i\| \cdot \|h_i(t)\|$$

Also as  $n \rightarrow \infty$

$$\sum_{i=1}^{\infty} \langle f_n(t)\xi_i, h_i(t) \rangle \rightarrow \sum_{i=1}^{\infty} \langle f(t)\xi_i, h_i(t) \rangle,$$

by i) and the  $\sigma(M, M_*)$  continuity of  $\Gamma_t$ .

It follows by dominated convergence that  $f_n = \Gamma_{(\cdot)}(P_n) \rightarrow f \sigma(\mathcal{Y}_M, \mathcal{Z}_M)$  as  $n \rightarrow \infty$ .

We will use the sequences  $P_n, Q_n$  extensively in the proof of our perturbation theorem.

d) If  $f \in \mathcal{Y}_M$ , with  $f(s)$  in  $M$  a.e., then

$$\begin{aligned} \langle f, Z_r(\phi) \rangle &= \int_0^{\infty} \langle f(s), [Z_r(\phi)](s) \rangle ds \\ &= \int_0^{\infty} \langle f(s), (\Gamma_{-s})_* \beta_* e^{-iT(r-s)} \phi \rangle ds \end{aligned}$$

for all  $\phi \in M_*$ ,  $r \geq 0$ .

### 5. Main results.

We recall that if  $\omega_0 \geq 0$ , and if  $T \in \mathcal{C}_+(X)$ , where  $X$  is a Banach space, that  $T$  is of type  $\omega_0$  means that for all  $\epsilon > 0$ , that

$$\lim_{t \rightarrow \infty} e^{-(\omega_0 + \epsilon)t} \|e^{-itT}\| = 0, \quad [3].$$

We can now state our perturbation theorem (c.f. [5, Theorem 3.1.]).

#### THEOREM 5.1.

Let  $M$  be a type I von Neumann algebra with discrete centre acting on a separable hilbert space  $\mathcal{K}$ , as in 3.10. Let  $\sigma_t$  be a weakly continuous one parameter group on  $M$ , with  $iT$  denoting the infinitesimal generator

of  $(\sigma_t^k)_*$  a strongly continuous group of type  $\omega_0 \geq c$ . Let  $\Gamma_t$  be an auxiliary weakly continuous one-parameter group on  $M$  satisfying 4.1.

$A \in \mathcal{B}(X)$  is affiliated with  $M$ , and gives a left multiplication operator  $\alpha = \alpha_A$ , as defined in 4.2.  $\beta$  is a linear operator on  $M$  which is closed and densely defined for the ultraweak topology. Suppose that

- (a)  $\alpha$  is  $(\sigma, \Gamma, q)$  smooth with associated functions  $\gamma, \hat{\gamma}$  in  $B(M, \mathcal{G}_M^*)$ , such that  $u \mapsto \gamma u, \hat{\gamma} u$  are continuous for the  $\sigma(M, M_*)$  and  $\sigma(\mathcal{H}_M, \mathcal{Z}_M^*)$  topologies. Moreover assume that if  $\phi \in M_*$ , then  $(\Gamma_t)_* \phi \in D_{\alpha_*}$  a.e. in  $\mathbb{R}$ .

- (b)  $\beta$  is  $(\sigma, \Gamma, p)_*$  smooth with associated maps  $Z_t, \hat{Z}_t$ , and for each  $\phi \in M_*$ ,  $t \rightarrow \langle f, Z_t(\phi) \rangle, \langle f, \hat{Z}_t(\phi) \rangle$  are continuous from the right on  $[0, \infty)$ . Moreover  $\text{Graph } \beta$  is countably  $\sigma$ -weakly generated.

- (c)  $\alpha_{\pm}(\cdot), \beta_{\theta}$  belong to  $B(\mathcal{G}_M^*)$ , and are  $\sigma(\mathcal{G}_M, \mathcal{Z}_M^*)$  continuous with common bound  $\leq N$ .

Then for each  $k$  in  $D = \{\lambda \in \mathbb{C} : |\lambda| < 1/N\}$ , there is a weakly continuous one-parameter group  $\sigma_t^k$  on  $M$ , with  $i\Gamma(k)$  the infinitesimal generator of the strongly continuous group  $(\sigma_t^k)_*$  of type  $\omega_0$ , uniquely determined by  $(\sigma, \alpha, \beta)$  with the following properties:

- 1)  $\alpha$  is  $(\sigma^k, \Gamma, q)$  smooth, with associated functions  $\gamma^k, \hat{\gamma}^k$  in  $B(M, \mathcal{G}_M^*)$  and  $|\alpha|_{(\sigma^k, \Gamma, q)} \leq (1 - |k|N)^{-1} |\alpha|_{(\sigma, \Gamma, q)}$ .
- 2)  $\beta_*$  is  $(\sigma^k, \Gamma, p)_*$  smooth with associated functions  $Z_t^k, \hat{Z}_t^k$  in  $B(M_*, \mathcal{Z}_M^*)$  for each  $t \geq 0$ , with  $|\beta_*|_{(\sigma^k, \Gamma, p)_*} \leq (1 - |k|N)^{-1} |\beta_*|_{(\sigma, \Gamma, p)_*}$ .

- 3) For each  $k$  in  $D$ , there exists  $Z^k$  in  $B(M_*, \mathcal{Z}_M^*)$  with

$$[Z^k \phi](s) = (\Gamma_s)_* \beta_* e^{-iT(k)s} \phi \quad \text{a.e. in } \mathbb{R}^+, \quad \text{for each } \phi \in M_*$$

and  $\|Z^k\| \leq M_0^2 (1 - |k|N)^{-1} |\beta_*|_{(\sigma, \Gamma, p)_*}$ .

Similarly for the other half line, with  $\hat{Z}$  in  $B(M_*, \hat{Z}_M)$ .

4)  $iT(k)$  is similar to  $iT$ . More specifically, there exists for each  $k$  in  $D$ , two non singular weakly continuous operators  $W_{\pm}(k)$  on  $M$  given by

$$\begin{aligned} \langle W_+(k)m, \phi \rangle &= \langle m, \phi \rangle + ik \langle Y(u), \hat{Z}^k(\phi) \rangle \\ \langle W_-(k)m, \phi \rangle &= \langle m, \phi \rangle - ik \langle \hat{Y}(u), Z^k(\phi) \rangle \end{aligned}$$

for all  $m$  in  $M$ ,  $\phi$  in  $M_*$ , and satisfying

$$T(k) = W_{\pm}(k)_*^{-1} T W_{\pm}(k)_* .$$

Moreover  $W_{\pm}(k) = \sigma\text{-weak limit}_{t \rightarrow \pm \infty} \sigma_t \sigma_{-t}^k$

5)  $T(k) \supseteq T + k\alpha_*\beta_*$ ;  $T \supseteq T(k) - k\alpha_*\beta_*$  .

PROOF.

To construct the perturbed group, we first derive two semigroups.

Let  $U_0(t) = \sigma_{-t}$  be the unperturbed group. For each fixed  $m$  in  $M$ , set

$$S_0(t)m = u_t A \sigma_{-t}(m) v_t \quad \text{for } t \geq 0 .$$

By our smoothness assumptions,  $S_0(\cdot)m \in \mathcal{Y}_M$ ,  $S_0(\cdot)m = Y(m)$ , and

$$\| S_0(\cdot)m \| \leq |\alpha| \| m \| \quad m \in M .$$

Inductively define for  $n \geq 1$ ,

$$S_n(\cdot)m = (\alpha \sigma_{-(\cdot)} \beta \theta) S_{n-1}(\cdot)m \quad , \quad m \in M .$$

Then  $S_n(\cdot)m \in \mathcal{Y}_M$  and  $\| S_n(\cdot)m \| \leq N^n |\alpha| \| m \|$  .

To construct our perturbed semigroups, we first define operators  $U_n(t)$  as follows:

For  $0 < t < \infty$ ,  $n \geq 1$ , consider for  $m \in M$ ,  $\phi \in M_*$ ,

$$J_n(t, m, \phi) = \langle S_{n-1}(\cdot)m, Z_t(\phi) \rangle .$$



Then  $|J_n(t, m, \phi)| \leq N^{n-1} \|m\| |\alpha| |\beta_*| \|\phi\|$

and  $\phi \mapsto J_n(t, m, \phi)$  is linear on  $M_*$ . Hence there exists a unique  $w_t$  in  $M$

such that  $\langle w_t, \phi \rangle = J_n(t, m, \phi)$ .

Define  $U_n(t)m = w_t$ . Set  $U_n(0) = 0$  ( $n \geq 1$ ).

Now we define

$$U(t, k)m = \sum_{n=0}^{\infty} (-ik)^n U_n(t)m, \text{ for all } m \text{ in } M, k \text{ in } D.$$

We will show that  $\{U(t, k)\}_{0 \leq t < \infty}$  is a weakly continuous semigroup on  $M$ .

LEMMA 5.2.

*The family  $U(t, k)$  is a semigroup of operators in  $B(M)$  for each  $k$  in  $D$ .*

PROOF.

Let us consider the series  $\sum_{n=1}^{\infty} (-ik)^n U_n(t)$ .

For each  $u$  in  $M$ , we have

$$\left\| \sum_{n=1}^{\infty} (-ik)^n U_n(t)u \right\| \leq \sum_{n=1}^{\infty} \|U_n(t)u\| |\kappa|^n \leq \sum_{n=1}^{\infty} |\kappa|^n N^{n-1} |\alpha| |\beta_*| \|u\|.$$

Thus for any  $k$  in  $D$ , the series converges absolutely and uniformly with respect to  $t$ , ( $0 \leq t < \infty$ ).

Since  $U(t, k) = U_0(t) + \sum_{n=1}^{\infty} (-ik)^n U_n(t)$

it follows that  $U(t, k)$  is in  $B(M)$  for each  $t \geq 0$ .

We now observe that if  $\xi \in \mathcal{A}$  then

$$U_n(t)(u) v_t \xi \in D_A, \text{ a.e. in } \mathbb{R}^+,$$

and  $u_t A U_n(t)(u) v_t \xi = S_n(t)(u)\xi$ , a.e. in  $\mathbb{R}^+$ ,

since, in the notation of (4.5), if we take  $f = S_{n-1}(u)$ , then

$$m^f(t) = U_n(t)u \text{ in } \mathbb{R}^+. \text{ Thus if } m \in M, \phi \in M_*,$$

$$\begin{aligned}
 \langle U_n(t)u, \phi \rangle &= \langle S_{n-1}(\cdot)u, Z_t(\phi) \rangle \\
 &= \lim_{j \rightarrow \infty} \langle \Gamma_j(P_j) S_{n-1}(\cdot)u, Z_t(\phi) \rangle \\
 &= \lim_{j \rightarrow \infty} \sum_i \int_0^{\infty} \langle \Gamma_t(P_j) [U_t A U_{n-1}(t)u] v_t [\xi_i, h_i(t)] \rangle dt,
 \end{aligned}$$

if  $Z_t(\phi) = i_M^* [\sum \xi_i \otimes \bar{h}_i]$ , where  $\xi_i \in \mathcal{H}$ ,  $h_i \in L^P(\mathbb{R}^+; \mathcal{H})$   
 and  $\sum \|\xi_i\| \|h_i\| < \infty$ .

$$\begin{aligned}
 \text{Thus } \langle U_n(t)u, \phi \rangle &= \lim_{j \rightarrow \infty} \sum_i \int_0^{\infty} \langle U_r T_j A U_{n-1}(r)u, v_r \xi_i, h_i(r) \rangle dr \\
 &= \lim_{j \rightarrow \infty} \int_0^{\infty} \langle \Gamma_r [P_j A U_{n-1}(r)u], [Z_t(\phi)](r) \rangle dr \\
 &= \lim_{j \rightarrow \infty} \int_0^t \langle P_j A U_{n-1}(r)u, \mathcal{R}_* e^{-i\mathbb{T}(t-r)} \phi \rangle dr.
 \end{aligned}$$

Now in order to prove that  $U(t, k)$  is a semigroup, it is enough to show that

$$U_n(t+s) = \sum_{j=0}^n U_j(t) U_{n-j}(s), \quad \text{for } s, t \geq 0.$$

We do this by induction on  $n$ . Suppose  $n \geq 1$ . Then if  $u \in M$ ,  $\phi \in M_*$ ,

$$\begin{aligned}
 &\sum_{j=0}^n \langle U_j(t) U_{n-j}(s)u, \phi \rangle \\
 &= \lim_{m \rightarrow \infty} \sum_{j=1}^n \int_0^t \langle P_m A U_{j-1}(r) U_{n-j}(s)u, \mathcal{R}_* e^{-i\mathbb{T}(t-r)} \phi \rangle dr + \langle U_0(t) U_n(s)u, \phi \rangle \\
 &= \lim_{m \rightarrow \infty} \int_0^t \langle P_m A U_{n-1}(r+s)u, \mathcal{R}_* e^{-i\mathbb{T}(t-r)} \phi \rangle dr + \langle U_0(t) U_n(s)u, \phi \rangle
 \end{aligned}$$

by our induction hypothesis

$$\begin{aligned}
 &= \lim_{m \rightarrow \infty} \int_{\xi}^{s+t} \langle P_m A U_{n-1}(w)u, \mathcal{R}_* e^{-i\mathbb{T}(t+s-w)} \phi \rangle dw + \langle U_0(t) U_n(s)u, \phi \rangle \\
 &= \langle U_n(t+s)u, \phi \rangle.
 \end{aligned}$$

The lemma follows.

LEMMA 5.3.

The semigroup  $\{U(t,k) : t \geq 0\}$  is a weakly continuous semigroup of operators of  $M$ , of type  $\omega_0$ .

PROOF.

For  $n \geq 1$ ,  $u \in M$ ,  $\phi \in M_*$ ,  $t \geq 0$

$$\langle U_n(t)u, \phi \rangle = \langle S_n(\cdot)u, Z_t(\phi) \rangle.$$

Now  $u \rightarrow S_0 u = Y(u)$  is  $\sigma(\mathcal{Y}_M, \mathcal{Z}_M) - \sigma(M, M_*)$  continuous.

Moreover  $\alpha_{-(\cdot)}\beta\theta$  is weakly continuous.

Hence  $u \rightarrow S_n u$  is weakly continuous, which shows that  $u \rightarrow \langle U_n(t)u, \phi \rangle$

is  $\sigma(M, M_*)$  continuous.

It follows that  $u \mapsto U(t,k)u$  is  $\sigma(M, M_*)$  continuous. Moreover, by assumption, for each fixed  $\phi$  in  $M_*$ ,  $t \mapsto Z_t(\phi)$  is  $\sigma(\mathcal{Z}_M, \mathcal{Y}_M)$  continuous from the right, so that  $t \mapsto U_n(t)u$  is  $\sigma(M, M_*)$  continuous from the right. Hence, being the sum of  $U_0(t)u$  and  $\sum_{n=1}^{\infty} (-ik)^n U_n(t)u$ , (which converges uniformly and absolutely with respect to  $t$ ),  $U(t,k)u$  is  $\sigma(M, M_*)$  continuous from the right. That is,  $U(t,k)_*$  is weakly  $(\sigma(M_*, M))$  continuous from the right. Hence by [7, p.233],  $U(t,k)_*$  is strongly continuous in  $t$ . The last claim is clear.

We denote the infinitesimal generator of  $U(t,k)_*$  by  $-iT(k)$ , for  $k$  in  $D$ , and write  $\sigma_{-t}^k$  for  $U(t,k)$ ,  $t \geq 0$ .

REMARK 5.4.

We may replace  $T$  by  $-T$ , and define for  $t \geq 0$ ,  $\hat{U}_n(t)$  by

$$\begin{aligned} \hat{U}_0(t) &= \sigma_t, \\ \langle \hat{U}_n(t)u, \phi \rangle &= -\langle S_{n-1}(\cdot)u, \hat{Z}_t(\phi) \rangle \quad u \in M, \phi \in M_* \end{aligned}$$

where  $\hat{S}_0(\cdot)u = \hat{Y}(u)$ , and  $\hat{S}_n(\cdot)u = (\alpha_{+(\cdot)}\beta\theta)\hat{S}_{n-1}(\cdot)u$ .

Then if we put

$$\hat{U}(t,k) = \sum_{n=0}^{\infty} (ik)^n \hat{U}_n(t)$$

we deduce the existence of a weakly continuous semigroup on  $M$ , for each  $k$  in

D. We shall denote by  $i\hat{\Gamma}(k)$ , the infinitesimal generator of the strongly continuous semigroup  $\hat{U}(t, k)_*$  on  $M_*$  of type  $\omega_0$ , and for  $\hat{U}(t, k)$  we write  $\hat{\sigma}_t^k$ ,  $t \geq 0$ .

LEMMA 5.5.

For all  $k$  in  $D$ ,  $t \geq 0$ ,

$$\hat{\sigma}_t^k \sigma_{-t}^k = 1 = \sigma_{-t}^k \hat{\sigma}_t^k .$$

PROOF.

We will inductively show, for  $n \geq 1$  that  $\sum_{j=0}^n \hat{U}_j(t) U_{n-j}(t) = 0$ .

Take  $u \in M$ ,  $\phi \in M_*$ ; now

$$\begin{aligned} & \sum_{j=0}^n \langle \hat{U}_j(t) U_{n-j}(t)u, \phi \rangle \\ &= - \sum_{j=1}^n \lim_{m \rightarrow \infty} \int_0^t \langle (P_m A) \hat{U}_{j-1}(r) U_{n-j}(t)u, \beta_* e^{i\Gamma(t-r)} \phi \rangle dr + \langle \hat{U}_0(t) U_n(t)u, \phi \rangle \\ &= - \sum_{1+k+s=n-1} \lim_{m \rightarrow \infty} \int_0^t \langle (P_m A) \hat{U}_1(r) U_k(r) U_s(t-r)u, \beta_* e^{+i\Gamma(t-r)} \phi \rangle dr \\ & \quad + \langle \hat{U}_0(t) U_n(t)u, \phi \rangle \end{aligned}$$

$$\begin{aligned} &= - \lim_{m \rightarrow \infty} \int_0^t \langle (P_m A) U_{n-1}(t-r)u, \beta_* e^{i\Gamma(t-r)} \phi \rangle dr + \langle \hat{U}_0(t) U_n(t)u, \phi \rangle \\ & \quad \text{by our induction hypothesis} \\ &= 0. \end{aligned}$$

Hence  $\hat{\sigma}_t^k \sigma_{-t}^k = 1$ , and similarly for the other relation.

As a corollary we see that  $T(k) = \hat{T}(k)$  for all  $k$  in  $D$ , and we have a one-parameter weakly continuous group  $\sigma_t^k$ , for  $k \in D$ .

LEMMA 5.6.

$\alpha$  is  $(\sigma^k, \Gamma, q)$  smooth with  $|\alpha|_{(\sigma^k, \Gamma, q)} \leq (1 - |k|N)^{-1} |\alpha|_{(\sigma, \Gamma, q)}$ .

PROOF.

If  $u \in M$ ,  $\xi \in \mathcal{K}$ , then  $U_n(t)(u) v_t \xi \in D_A$  a.e. in  $\mathbb{R}^+$  and

$$S_n(t)u \xi = u_t A U_n(t)(u) v_t \xi \quad \text{a.e.}$$

$$\text{Let } Y_n(u) = \sum_{m=0}^n (-ik)^m S_m u.$$

It follows that for  $k$  in  $D$ ,

$$\sum \left\| (-ik)^m S_m u \right\| \leq \sum |k|^m N^m |\alpha| (\sigma, \tau, q, +) \|u\| = (1 - |k|N)^{-1} |\alpha| (\sigma, \tau, q, +) \|u\|.$$

Hence there exists  $Y^k$  in  $B(M, \mathcal{Y}_M)$  such that

$$Y^k(u) = \sum_{m=0}^{\infty} (-ik)^m S_m u, \quad u \in M.$$

Fix  $u \in M$ ,  $\xi \in \mathcal{K}$ . Then we can pick a subsequence  $n_j$  such that

$$[Y_{n_j}(u)](s)\xi \rightarrow [Y^k(u)](s)\xi, \quad \text{a.e. in } \mathbb{R}^+.$$

Note  $[Y_n(u)](s) = \sum_{m=0}^n u_t A U_m(t)(u) v_t \xi$ , a.e. in  $\mathbb{R}^+$ .

But  $\sum_{m=1}^{\infty} (-ik)^m U_m(t)u$  converges uniformly in  $t$ . It follows, since  $u_t A$  is closed, that  $\sum (-ik)^m U_m(t) v_t \xi \in D_A$  a.e., and

$$u_t A \left( \sum_{m=0}^{\infty} (-ik)^m U_m(t)(u) v_t \xi \right) = \lim_{j \rightarrow \infty} [Y_{n_j}(u)](t)\xi = [Y^k(u)](t) \quad \text{a.e.}$$

In other words  $\sigma_{-t}^k(u) v_t \xi \in D_A$  a.e.

and  $[Y^k(u)](t)\xi = u_t A \sigma_{-t}^k(u) v_t \xi$ , a.e. in  $\mathbb{R}^+$ .

Similarly for the other half line.

REMARK 5.7.

We now investigate the  $\sigma(\mathcal{Y}_M, \mathcal{Z}_M)$  continuous operator  $C = \alpha \sigma_{-\cdot} \beta \theta$  in

$B(\mathcal{Y}_M)$ . We denote the restriction of  $C^*$  to  $\mathcal{Z}_M$  by  $C_*$  (as usual). Take  $v$

in  $D_g$ , and  $[a, b]$  a compact interval in  $\mathbb{R}^+$ . Define  $f$  in  $\mathcal{Y}_M$  by

$$f(s) = \Gamma_g(v) X_{(a,b)}(s).$$

Take any  $\xi, \eta$  in  $\mathcal{M}$ , and define  $\phi \in M_*$  by  $\phi(m) = \langle m\xi, \eta \rangle$  for  $m \in M$ .

Then for almost all  $s$  in  $\mathbb{R}^+$ , depending on  $\phi$ , we have

$$\begin{aligned} \langle (CF)(s), \eta \rangle &= \int_0^s \langle f(\tau), \Gamma_{-T}^* \beta_* e^{-i(s-\tau)T} \alpha_*(\Gamma_S^*) \phi \rangle \chi_{[a,b]}(\tau) d\tau \\ &= \int_0^s \langle v, \beta_* e^{-i(s-\tau)T} \alpha_*(\Gamma_S^*) \phi \rangle \chi_{[a,b]}(\tau) d\tau \\ &= \begin{cases} 0 & \text{if } s < a \\ \int_a^s \langle \sigma_{-(s-\tau)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle d\tau & \text{if } s \in [a, b] \\ \int_a^b \langle \sigma_{-(s-\tau)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle d\tau & \text{if } s \geq b. \end{cases} \end{aligned}$$

For  $(s, t) \in (\mathbb{R})^2$ , define for  $h \in L^p(\mathbb{R}^+)$ ,

$$u(s, t) = \langle \sigma_{-(s-t)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle h(s),$$

which is jointly measurable. Moreover

$$\begin{aligned} \int_a^b \int_\tau^\infty |u(s, \tau)| ds d\tau &\leq \int_a^b \left( \int_\tau^\infty \langle u_s A \sigma_{-(s-\tau)} (f(v)) v_S \xi, \eta \rangle h(s) | ds \right) d\tau \\ &\leq \int_a^b \|\sigma_\tau f(v)\| \|\xi\| \|\eta\| d\tau < \infty \end{aligned}$$

as  $[a, b]$  is compact, and for each fixed  $T$ , we have a.e.

$$\begin{aligned} \langle u_s A \sigma_{-(s-T)} f(v) v_S \xi, \eta \rangle h(s) &= \lim_{n \rightarrow \infty} \langle u_s (A Q_n) \sigma_{-(s-T)} f(v) v_S \xi, \eta \rangle h(s) \\ &= \lim_{n \rightarrow \infty} \langle Q_n \sigma_{-(s-T)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle h(s) \\ &= \langle \sigma_{-(s-T)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle h(s). \end{aligned}$$

Hence we can apply Fubini's theorem, and deduce that if

$$g = I_M^*(\xi \otimes (\bar{\eta}h)) \in \mathcal{Z}_M, \text{ that}$$

$$\begin{aligned} \langle Cf, g \rangle &= \int_a^b \langle Cf(s), \eta \rangle h(s) ds \\ &= \int_a^b \left( \int_a^s \langle \sigma_{-(s-\tau)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle d\tau \right) h(s) ds \\ &\quad + \int_a^b \left( \int_a^b \langle \sigma_{-(s-\tau)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle d\tau \right) h(s) ds \\ &= \int_a^b \int_\tau^\infty \langle \sigma_{-(s-\tau)} f(v), \alpha_*(\Gamma_S^*) \phi \rangle h(s) ds d\tau \end{aligned}$$

It follows that whenever  $g \in \mathcal{Z}_M$ ,  $g = i^*(z)$  where  $z = \sum_{i=1}^{\infty} \xi_i \otimes \bar{k}_i$

$\xi_i \in \mathcal{H}$ ,  $k_i \in L^p(\mathbb{R}^+; \mathcal{H})$ , and  $\sum \|\xi_i\| \cdot \|k_i\| < \infty$ , that

$$\langle Cf, g \rangle = \int_a^b \left( \int_{\tau}^{\infty} \langle u_s A \sigma_{-(s-\tau)} \beta(v) v_s \xi_i, k_i(s) \rangle ds \right) d\tau .$$

On the other hand

$$\langle Cf, g \rangle = \langle f, C_*g \rangle = \int_a^b \langle \Gamma_{\kappa}(v), [C_*g](\tau) \rangle d\tau .$$

Thus almost everywhere, (depending on  $v \in D_{\beta}$ )

$$\langle \Gamma_{\tau}(v), [C_*g](\tau) \rangle = \sum_i \int_{\tau}^{\infty} \langle u_s A \sigma_{-(s-\tau)}(\beta(v)) v_s \xi_i, k_i(s) \rangle ds .$$

LEMMA 5.8.

Fix  $t > 0$ , and define for  $\phi \in M_*$ ,  $m \in \mathbb{Z}$ ,

$$U_{m,t}(r) * \phi = \begin{cases} U_m(t-r) * \phi & \text{if } r \in [0, t] \\ 0 & \text{if } r > t. \end{cases}$$

Then  $U_{m,t}(r) * \phi \in D_{\beta_*}$  a.e. and

$$\Gamma_{-r} \beta_* U_{m,t}(r) * \phi = [ (C_*)^m Z_t(\phi) ](r) \text{ a.e. (depending on } \phi \text{ and } t).$$

PROOF.

For  $m = 0$ , see the definition of  $Z_t(\phi)$ .

$m \geq 1$ . Let  $w \in D_{\beta}$ . Then

$$\begin{aligned} \langle \Gamma_r^t(w), [C_*^m Z_t(\phi)](r) \rangle &= \langle \Gamma_r^t(w), [C_* C_*^{m-1} Z_t(\phi)](r) \rangle \\ &= \lim_{p \rightarrow \infty} \int_0^{\infty} \langle \Gamma_{s+r}^t [P_{p^A}] \sigma_{-(s-r)} \beta(w), [C_*^{m-1} Z_t(\phi)](s) \rangle ds \\ &= \lim_{p \rightarrow \infty} \int_0^{\infty} \langle \Gamma_{s+r}^t [P_{p^A}] \sigma_{-s} \beta(w), [C_*^{m-1} Z_t(\phi)](s+r) \rangle ds . \end{aligned}$$

$$\begin{aligned} \text{Now } [C_*^{m-1} Z_t(\phi)](s+r) &= (\Gamma_{-s-r}^t)^* \beta_* U_{m-1,t}(s+r) * \phi \\ &= (\Gamma_{-s-r}^t)^* \beta_* U_{m-1,t-r}(s) * \phi \\ &= (\Gamma_{-r}^t)^* [C_*^{m-1} Z_{t-r}(\phi)](s) \text{ a.e.} \end{aligned}$$

if  $r \leq t$ , and zero otherwise.

$$\begin{aligned}
 \text{Hence } \langle \Gamma_r^k(w), [C_*^m Z_t(\phi)](r) \rangle &= \lim_{p \rightarrow \infty} \int_0^{\infty} \langle \Gamma_s^k [F_p^A \sigma_{-s}(\beta(w))], [C_*^{m-1} Z_{t-r}(\phi)](s) \rangle ds \\
 &= \langle S_0^{\beta(w)}, C_*^{m-1} Z_{t-r}(\phi) \rangle \\
 &= \langle S_{m-1}^{\beta(w)}, Z_{t-r}(\phi) \rangle \\
 &= \langle U_m(t-r)\beta(w), \phi \rangle,
 \end{aligned}$$

a.e. (depending on  $t, w, \phi$ ).

But Graph  $\beta$  is ultraweakly countably generated. Hence for all  $w$  in  $D_{\beta}$ ,

$$\langle \Gamma_r(w), [C_*^m Z_t(\phi)](r) \rangle = \langle U_m(t-r)\beta w, \phi \rangle$$

a.e. (depending on  $t > 0$  and  $\phi$  in  $M_*$ ).

Hence  $U_m(t-r)*\phi \in D_{\beta_*}$  a.e., and

$$(\Gamma_r)_* [C_*^m Z_t(\phi)](r) = \beta_* U_{m,t}(r)*\phi \quad \text{a.e.}$$

LEMMA 5.9.

(a)  $\beta_*$  is  $(\sigma^k, \Gamma, p)_*$  smooth, with associated functions  $Z_r^k$ ,  $r \geq 0$ , and

$$|\beta_*|_{(\sigma^k, \Gamma, p)_*} \leq (1 - |k|N)^{-1} |\beta_*|_{(\sigma, \Gamma, p)_*}.$$

(b) There exists  $Z^k$  in  $B(M_*, \mathcal{Z}_M)$  satisfying, for  $\phi$  in  $M_*$ ,

$$(Z^k \phi)(s) = (\Gamma_s)_* \beta_* e^{-i\Gamma(k)s} \phi \quad \text{a.e.}$$

$$\text{and } \|Z^k\| \leq M_0^2 (1 - |k|N)^{-1} |\beta_*|_{(\sigma, \Gamma, p)_*}.$$

Similarly for the other half line, with associated maps  $\hat{Z}_r^k$ ,  $r \geq 0$ , and  $\hat{Z}^k$  in  $B(M_*, \mathcal{Z}_M)$ .

PROOF.

$$(a) \quad \sum \| (-ik)^m C_*^m Z_t(\phi) \| \leq |k|^m N^m |\beta_*| \|\phi\| \quad \text{for each } \phi \text{ in } M_*, t \geq 0.$$

Hence if  $k \in D$ , the series converges uniformly and absolutely in  $t$  to  $Z_t^k(\phi)$

say. By Remark 3.7, we see that for each fixed  $t > 0$ ,  $\phi \in M_*$ , there is a subsequence  $n_k$  such that



$$[Z_t^K(\phi)](s) = \lim_{j \rightarrow \infty} \sum_{m=1}^{n_j} (-1)^m [C_*^m Z_t(\phi)](s) \quad \text{a.e.}$$

Let  $W$  be a null set in  $\mathbb{R}^+$ , such that if  $s \notin W$ .

$$i) \quad [Z_t^K(\phi)](s) = \lim_{j \rightarrow \infty} \sum_{m=1}^{n_j} (-1)^m [C_*^m Z_t(\phi)](s)$$

$$ii) \quad [C_*^m Z_t(\phi)](s) = (\Gamma_{-s})_* U_{m,t}(e) * \quad , \quad m = 0, 1, 2, \dots$$

Take  $s \notin W$ , and  $b$  satisfying  $\Gamma_{-s}(b) \in D_B$ .

$$\begin{aligned} \text{Then } \langle [Z_t(\phi)](s), b \rangle &= \lim_{j \rightarrow \infty} \sum_{m=1}^{n_j} \langle [C_*^m Z_t(\phi)](s), b \rangle (-1)^m \\ &= \lim_{j \rightarrow \infty} \sum_{m=1}^{n_j} \langle (\Gamma_{-s})_* U_{m,t}(s) * \varphi, b \rangle (-1)^m \\ &= \lim_{n \rightarrow \infty} \sum_{m=1}^{n_j} \langle U_{m,t}(t-s) * \varphi, \beta \Gamma_{-s}(b) \rangle (-1)^m \chi_{[0,t]}(s) \\ &= \langle e^{-i\Gamma(K)(t-s)} \varphi, \beta \Gamma_{-s}(b) \rangle \chi_{[0,t]}(s) \end{aligned}$$

It follows that  $e^{-i\Gamma(K)(t-s)} \varphi \in D_B$  for  $s \in [0,t] \cap W$ , and

$$[Z_t^K(\phi)](s) = (\Gamma_{-s})_* \beta_* e^{-i\Gamma(K)(t-s)} \varphi \quad \text{a.e.}$$

b) From a) it follows that if  $\phi \in M_*$ ,  $k$  in  $D$ , that there exists  $z_n$  in  $\mathcal{X}_M$  such that

$$z_n(s) = (\Gamma_{+s})_* \beta_* e^{-i\Gamma(K)s} \phi \chi_{[0,n]}(s) \quad \text{a.e.}$$

and  $\|z_n\| \leq M_0^2 (1 - |k|N)^{-1} \|\beta_*\| \|\phi\|$ .

The result follows from 3.10.

We can now easily deduce the following:

LEMMA 5.10.

The semigroups  $\sigma_{-t}$  and  $\sigma_{-t}^k$  satisfy for  $t \geq 0$  the following relations if

$u \in M, \phi \in M_*, k \in D$ :

$$\begin{aligned} \langle \sigma_{-t}^k(u) - \sigma_{-t}(u), \phi \rangle &= -i k \langle Y^k(u), Z_t(\phi) \rangle \\ \langle \sigma_{-t}^k(u) - \sigma_{-t}(u), \phi \rangle &= -i k \langle Y(u), Z_t^k(\phi) \rangle \end{aligned}$$

with analogous results for the other half line.

LEMMA 5.11.

For each  $k$  in  $D$ , 
$$T(k) \supseteq T + k \alpha_* \beta_*$$
  

$$T \supseteq T(k) - k \alpha_* \beta_*$$

PROOF.

Let  $A = U|A|$  be a polar decomposition of  $A$ , and  $Q_n$  (4.7) to be chosen as spectral projections of  $A$ . Then take  $P'_n = U Q_n U^*$ .

For  $z \in C$ , with  $\text{im } z > \omega_0$ , and  $k \in D$ , let  $R(z, k) = (T(k) - z)^{-1}$  and  $R(z) = (T - z)^{-1}$ .

Then  $e^{izt} \langle (-ik) \langle Y^k(u), Z_t(\phi) \rangle \rangle \in L^1(0, \infty)$ , for  $u \in M$ ,  $\phi \in M_*$ ; and by dominated convergence:

$$\begin{aligned} & i \int_0^\infty e^{izt} \langle (-ik) \langle Y^k(u), Z_t(\phi) \rangle \rangle dt \\ &= i \int \lim_{n \rightarrow \infty} (-ik) e^{izt} \langle \Gamma_n^1(\cdot) (P'_n) Y^k(u), Z_t(\phi) \rangle dt \\ &= i \int_0^\infty \lim_{n \rightarrow \infty} \int_0^t e^{izt} (-ik) \int_0^s \langle \Gamma_n^{P'_n A} \sigma_{-s}(u), [Z_t(\phi)](s) \rangle ds dt \\ &= i \lim_{n \rightarrow \infty} \int_0^\infty \int_0^t e^{izt} (-ik) \langle \Gamma_n^{P'_n A} \sigma_{-s}(u), [Z_t(\phi)](s) \rangle ds dt \\ &= i \lim_{n \rightarrow \infty} \int_0^\infty \int_0^\infty e^{iz(s+r)} (-ik) \langle P_n^{P'_n A} \sigma_{-s}(u), \beta_* e^{-irT} \phi \rangle dr ds, \end{aligned}$$

where we have used Fubini's theorem, the use of which is easily justified as in Remark 5.7.

Now suppose  $R(z)\phi \in D_{\alpha_* \beta_*}$ . Now by 3.7 and 5.9, for each  $\psi$  in  $M_*$ ,

$$r \mapsto \beta_* e^{-irT} \psi \in L^P(\mathbb{R}^+; M_*) .$$

Hence for all  $\psi \in M_*$ ;  $R(z)\psi \in D_{\beta_*}$  and

$$\langle u, \beta_* R(z)\psi \rangle = +i \int_0^\infty \langle u, \beta_* e^{-irT} \psi \rangle e^{irz} dr \text{ for all } u \text{ in } M.$$

Hence

$$\begin{aligned}
 & i \int_0^{\infty} e^{izt} (-ik) \langle Y^k(u), Z_t(\phi) \rangle dt \\
 &= \lim_{n \rightarrow \infty} \int_0^{\infty} (-ik) e^{izs} \langle A Q_n \sigma_{-s}(u), \beta_* R(z)(v) \rangle ds \\
 &= -(k) \langle u, R(z, k) \alpha_* \beta_* R(z) \phi \rangle \\
 &= \langle u, R(z, k) \phi \rangle - \langle u, R(z) \phi \rangle \quad \text{by taking Laplace transforms.}
 \end{aligned}$$

It follows easily that  $T \geq T(k) - k \alpha_* \beta_*$ .

The other relation is proved similarly.

We now consider the similarity operators.

LEMMA 5.12.

For  $u \in M$ ,  $\phi \in M_*$ , the expressions

$$\begin{aligned}
 \langle W_-(k)u, \phi \rangle &= \langle u, \phi \rangle - i k \langle \hat{Y}(u), Z^k(\phi) \rangle \\
 \langle W_+(k)u, \phi \rangle &= \langle u, \phi \rangle + i k \langle Y(u), \hat{Z}^k(\phi) \rangle \\
 \langle H_-(k)u, \phi \rangle &= \langle u, \phi \rangle + i k \langle \hat{Y}^k(u), Z(\phi) \rangle \\
 \langle H_+(k)u, \phi \rangle &= \langle u, \phi \rangle - i k \langle Y^k(u), \hat{Z}(\phi) \rangle \quad (k \in D)
 \end{aligned}$$

define ultraweakly continuous bounded operators on  $M$ . Moreover

$$\begin{aligned}
 W_-(k) H_-(k) &= H_-(u) W_-(k) = 1 \\
 W_+(k) H_+(k) &= H_+(k) W_+(k) = 1.
 \end{aligned}$$

PROOF.

The right hand sides clearly define bounded linear operators on  $M$ .

$$\text{But } \hat{Y}^k(u) = \sum_{n=0}^{\infty} \hat{S}_n u (-ik)^n.$$

Using the weak continuity of  $\alpha_{+(\cdot, \cdot)} \beta \theta$  and  $u \mapsto \hat{S}_0 u$ , we see that  $W_-(k)$  and  $H_-(k)$  are ultraweakly continuous. Let us write

$$W_-(k) = 1 + kP, \quad \text{and } H_-(k) = 1 + kQ.$$

Take  $u \in M$ ,  $\phi \in M_*$ , and we have

$$\begin{aligned}
 \langle PQ u, \phi \rangle &= \langle Qu, P_* \phi \rangle = i \langle \hat{Y}^k(u), Z(P_* \phi) \rangle \\
 &= \lim_{n \rightarrow \infty} \int_0^{\infty} i \langle P_n A \sigma_n^k(u), \beta_* \sigma_{-s} P_* \phi \rangle ds.
 \end{aligned}$$

For any  $w$  in  $D_\beta$ ,  $\phi \in M_*$  one has

$$\begin{aligned} \langle w, \beta_* e^{-isT} P_* \phi \rangle &= \langle P \sigma_{-s} \beta(w), \phi \rangle \\ &= \lim_{m \rightarrow \infty} - \int_0^\infty i \langle P_m^A \sigma_{r-s} \beta(w), \beta_* e^{-irT}(\kappa) \phi \rangle dr \\ &= -i \lim_{m \rightarrow \infty} \int_0^s -i \lim_{m \rightarrow \infty} \int_\xi^\infty \end{aligned}$$

The two parts are evaluated separately as follows

$$\begin{aligned} \lim_{m \rightarrow \infty} \int_0^s &= \lim_{m \rightarrow \infty} \int_0^s \langle P_m^A \sigma_{-(s-r)} w, \beta_* e^{-irT}(\kappa) \phi \rangle dr \\ &= \frac{i}{\kappa} \langle \sigma_{-s}^* \beta w - \sigma_{-s}^* \beta w, \phi \rangle \\ &= \frac{i}{\kappa} \langle w, \beta_* e^{-isT}(\kappa) \phi \rangle - \frac{i}{\kappa} \langle w, \beta_* e^{-isT} \phi \rangle, \end{aligned}$$

a.e. using Lemma 5.10.

Also by Remark 5.7,

$$\lim_{m \rightarrow \infty} \int_s^\infty \langle P_m^A \sigma_{r-s} \beta w, \beta_* e^{-irT}(\kappa) \phi \rangle dr = \langle \Gamma_{-s}(w), [\hat{C}_* Z^k(\phi)](s) \rangle$$

a.e., depending on  $k, w, \phi$ .

Since Graph  $\beta$  is ultraweakly countably generated, we conclude that

$$\begin{aligned} \beta_* e^{-isT} P_* \phi &= \frac{1}{\kappa} \beta_* e^{-isT}(\kappa) \phi - \frac{1}{\kappa} \beta_* e^{-isT} \phi - i(\Gamma_{-s}^*)^* [\hat{C}_* Z^k(\phi)](s) . \\ \text{Hence } \langle P Q u, \phi \rangle &= \frac{i}{\kappa} \lim_{n \rightarrow \infty} \int_0^\infty \langle P_n^A \sigma_s(u), \beta_* e^{-isT}(\kappa) \phi \rangle ds \end{aligned}$$

$$\begin{aligned} & - \frac{i}{\kappa} \lim_{n \rightarrow \infty} \int_0^\infty \langle P_n^A \sigma_s(u), \beta_* e^{-isT} \phi \rangle ds \\ & + \lim_{n \rightarrow \infty} \int_0^\infty \langle \Gamma_{-s}^*(P_n^A \sigma_s(u)), [C_* Z^k(\phi)](s) \rangle ds \end{aligned}$$

$$= I_1 + I_2 + I_3 \text{ respectively, say.}$$

$$I_3 = \langle Y^k(u), \hat{C}_* Z^k(\phi) \rangle = \langle \hat{C} Y^k(u), Z^k(\phi) \rangle .$$

For  $\xi, \eta \in \mathcal{H}$ , define  $v \in M_*$  by  $v(m) = \langle m\xi, \eta \rangle$ ,  $m \in M$ .

Then for almost all  $s$ , we have by 4.8. b, that

$$\begin{aligned} \langle [\hat{C} Y(u)](s)\xi, \eta \rangle &= \lim_{n \rightarrow \infty} \int_0^\infty \langle P_n^A \sigma_r(u), \beta_* e^{+i(s-r)T} \alpha_* (\Gamma_{-s})^* v \rangle dr \\ &= \frac{1}{i\kappa} \langle \sigma_s^k(u) - \sigma_s(u), \alpha_* (\Gamma_{-s})^* v \rangle \end{aligned}$$

by Lemma 5.10.

$$\text{Hence } \hat{C} \hat{Y}^k(u) = \frac{1}{ik} \cdot \hat{Y}^k(u) - \frac{1}{ik} \cdot \hat{Y}(u) .$$

It follows that

$$I_3 = \frac{1}{ik} \langle \hat{Y}^k(u), Z^k(\phi) \rangle - \frac{1}{ik} \langle \hat{Y}(u), Z^k(\phi) \rangle .$$

The first term of  $I_3$  cancels  $I_1$ , and the second term of  $I_3$  is

$$- \frac{1}{k} \langle Pu, \phi \rangle ; \text{ while } I_2 = - \frac{1}{k} \langle Qu, \phi \rangle .$$

$$\text{Hence } \langle PQu, \phi \rangle = - \frac{1}{k} \langle Qu, \phi \rangle - \frac{1}{k} \langle Pu, \phi \rangle .$$

This is equivalent to  $W_-(k) H_-(k) = 1$ .

The remainder can be shown in the same manner.

LEMMA 5.13.

With the non singular operators  $W_{\pm}(k)$  constructed above, we have that

$$W_{\pm}(k) \sigma_{-r} = \sigma_{-r}^k W_{\pm}(k) \text{ for all } k \text{ in } D, r \text{ in } \mathbb{R} .$$

PROOF.

Consider only  $r \geq 0$ ; and take  $u \in M, \phi \in M_*$  .

Then

$$\langle W_-(k) \sigma_{-r}(u), \phi \rangle = \langle \sigma_{-r}(u), \phi \rangle - \lim_{n \rightarrow \infty} \int_0^{\infty} \langle P_n^A \sigma_s \sigma_{-r}(u), \beta_* e^{-isT(k)} \phi \rangle ds .$$

The integral on the right hand side can be split into two terms,  $\int_0^r$

and  $\int_r^{\infty}$  where,

$$\begin{aligned} & \lim_{n \rightarrow \infty} -ik \int_0^r \langle P_n^A \sigma_s \sigma_{-r}(u), \beta_* e^{-isT(k)} \phi \rangle ds \\ &= \lim_{n \rightarrow \infty} -ik \int_0^r \langle P_n^A, \sigma_{-(r-s)}(u), \beta_* e^{-isT(k)} \phi \rangle ds \\ &= \langle \sigma_{-r}^k(u), \phi \rangle - \langle \sigma_{-r}(u), \phi \rangle \text{ by Lemma 5.10;} \end{aligned}$$

$$\begin{aligned} & \text{and } -ik \lim_{n \rightarrow \infty} \int_r^{\infty} \langle P_n^A \sigma_s \sigma_{-r}(u), \beta_* e^{-isT(k)} \phi \rangle ds \\ &= -ik \lim_{n \rightarrow \infty} \int_r^{\infty} \langle P_n^A \sigma_{-s}(u), \beta_* e^{-isT(k)} e^{-irT(k)} \phi \rangle ds . \end{aligned}$$

$$\text{Hence } W_-(k) \sigma_{-r} = \sigma_{-r}^k W_-(k) .$$

REMARK 5.14.

However, more than the similarity relations is true. The ultraweak limit  $W_{\pm}^{\pm}(k)$  of  $\sigma_t^k \sigma_{-t}$  as  $t \rightarrow \pm \infty$  exist and coincide with  $W_{\pm}(k)$ . To show this, notice that

$$\langle W_+(k) \sigma_{-t}(u), e^{itT(k)} \phi \rangle = \langle \sigma_t^k \sigma_{-t}(u), \phi \rangle + \lim_{n \rightarrow \infty} \int_0^{\infty} ik \langle P_n A \sigma_{-(t+r)}(u), \beta_* e^{i(t+r)T(k)} \phi \rangle dr$$

$$\text{Hence } \langle W_+(k)(u), \phi \rangle - \langle \sigma_t^k \sigma_{-t}(u), \phi \rangle = ik \langle Y(u), \gamma_{[t, \infty)}^k(\phi) \rangle,$$

which tends to zero, as  $t \rightarrow \infty$ .

### 6. Automorphism groups.

As seen from our motivating example in §3, if the unperturbed system  $\sigma_t$  is a group of automorphisms, then the resulting perturbation need not be. In this section, we consider how to form groups of \*-automorphisms from the perturbations  $\sigma_t^k$ .

Again,  $M$  is the von Neumann algebra in 3.10. Suppose  $\sigma_t$  is a weakly continuous one parameter group of \*-automorphisms of  $M$ , with  $\Gamma_t$  an auxiliary weakly continuous group satisfying (4.1).  $B, A \in \mathcal{B}(H)$  are affiliated with  $M$  and give left multiplication operators  $\beta, \alpha$  respectively, as defined in 4.2. Suppose  $(\sigma, \Gamma, \alpha, \beta)$  satisfy all the hypotheses of Theorem 5.1.

Note that  $D_{\beta}$  is a right ideal in  $M$ , and if  $x \in D_{\beta}$ ,  $y \in M$  then  $\beta(xy) = \beta(x).y$ . This is the only new property of  $\beta$  that we need.

#### NOTATION 6.1.

If  $\delta$  is a linear operator on  $M$ , with domain  $D_{\delta}$ , we define  $\bar{\delta}$  to be the linear map on  $M$ , with domain  $(D_{\delta})^*$  and  $\bar{\delta}(x) = \delta(x^*)^*$  for  $x \in D_{\delta}$ .

THEOREM 6.2.

a) If  $k \in D$ ,  $\rho_{\pm}^k(x) = \sigma_{\pm}^k(x^*)^*$  for  $x \in M$ , defines a weakly continuous group on  $M$ , and if  $iJ(k)$  denotes the infinitesimal generator of the strongly continuous group  $(\rho_{\pm}^k)^*$ , then

$$J(k) \geq T - k(\bar{\alpha})^* (\bar{\beta})^* \\ T \geq J(k) + k(\bar{\alpha})^* (\bar{\beta})^*$$

Also  $\tilde{W}_{\pm}(k)(x) = W_{\pm}(\bar{k})(x^*)^*$   $x \in M$ , defines two weakly continuous invertible operators on  $M$ , satisfying:

$$i) \quad \tilde{W}_{\pm}(k)\sigma_{\pm}^k = \rho_{\pm}^k \tilde{W}_{\pm}(k) \\ ii) \quad \tilde{W}_{\pm}(k) = \sigma_{\pm}^k \text{-weak limit}_{t \rightarrow \pm \infty} \rho_{\pm}^k \sigma_{\pm}^k$$

b) The following hold for all  $x, y$  in  $M$ ,  $t \in \mathbb{R}$ ,  $k \in D$

$$iii) \quad \sigma_{\pm}^k(xy) = \sigma_{\pm}^k(x) \sigma_{\pm}^k(y) \\ iv) \quad \rho_{\pm}^k(xy) = \sigma_{\pm}^k(x) \rho_{\pm}^k(y) \\ v) \quad W_{\pm}(k)(xy) = [W_{\pm}(k)x] y \\ vi) \quad \tilde{W}_{\pm}(k)(xy) = x[\tilde{W}_{\pm}(k)y]$$

c) Suppose also, in the notation of (4.5), that for each  $f \in \mathcal{G}_M'$ ,  $r \mapsto m^f(r)^*$  is strongly continuous from the left on  $(0, \infty)$ . Then

for each  $k$  in  $D$ , there is a weakly continuous one parameter group  $\tau_{\pm}^k$  on  $M$ , satisfying:

$$vii) \quad \tau_{\pm}^k(xy) = \sigma_{\pm}^k(x) \rho_{\pm}^k(y)$$

Also there are two  $\sigma$ -weakly continuous invertible bounded linear operators  $\Omega_{\pm}(k)$ , for each  $k$  in  $D$ , satisfying

$$viii) \quad \Omega_{\pm}(k)(xy) = W_{\pm}(k)(x) \tilde{W}_{\pm}(k)(y) \quad x, y \in M.$$

$$ix) \quad \Omega_{\pm}(k)\tau_{\pm}^k = \sigma_{\pm}^k \Omega_{\pm}(k) \quad t \in \mathbb{R}.$$

PROOF.

We give the proof of b) and c) only.

b) Using the notation of §5, we show by induction on  $n$  that

$$U_n(t)(xy) = U_n(t)(x) \sigma_{-t}^k(y), \quad x, y \in M, t \geq 0.$$

If  $\phi \in M_*$ , then

$$\begin{aligned} \langle U_n(t)(xy), \phi \rangle &= \lim_{m \rightarrow \infty} \int_0^t \langle P_m \wedge U_{n-1}(r)(xy), \beta_* e^{-i(t-r)T} \phi \rangle dr \\ &= \lim_{m \rightarrow \infty} \int_0^t \langle P_m \wedge U_{n-1}(r)(x) \sigma_{-r}^k(y), \beta_* e^{-i(t-r)T} \phi \rangle dr \\ &= \langle U_n(t)(x) \sigma_{-t}^k(y), \phi \rangle, \end{aligned}$$

using the ideal property of  $D_g$  etc. Similarly for  $t < 0$ .

This proves iii), and we can prove v) in a similar fashion. iv) and vi) are immediate consequences of iii) and v).

c) For each  $k$  in  $D$ ,  $t$  in  $\mathbb{R}$ , we can define a linear map  $\tau_t^k$  on  $M$

such that  $\tau_t^k(x) = \sigma_t^k(x) \rho_t^k(1)$ ,  $\forall x \in M$

Then  $\tau_t^k(xy) = \sigma_t^k(x) \rho_t^k(y)$ ,  $\forall x, y \in M, t \in \mathbb{R}, k \in D$ .

Clearly  $x \mapsto \tau_t^k(x)$  is  $\sigma$ -weakly continuous on  $M$ .

The additional continuity that we are now given, shows that  $r \mapsto (U_n(r)x)^*$  is strongly continuous from the left on  $(0, \infty)$ .

$$\text{But } \tau_t^k(x) = \sigma_t^k(x) + \sum_{n=1}^{\infty} \sigma_t^k(1) U_n(t)(x^*)^* (ik)^n.$$

Hence  $\tau_t^k(x)$  is  $\sigma(M, M_*)$  continuous in  $t$  from the left on  $(0, \infty)$ . By the group property of  $\tau_t^k$ , it is continuous from the left on  $\mathbb{R}$ . Hence  $(\tau_t^k)^*$  is a strongly continuous group on  $\mathbb{R}$ .

The remainder is clear.



REMARKS.

(6.3) For the motivation of the condition in 6.2.b, see the proof of [5, Lemma 4.2.].

(6.4) If, as often happens in practice, it is true that

$$(W_+)_* = \text{st} \lim_{t \rightarrow \infty} e^{-itT} e^{itT(K)}$$

$$\text{then } (\Omega_+^k(K))_* = \text{st} \lim_{t \rightarrow \infty} e^{-itT} (\tau_{-t}^k)_* ,$$

$$\text{and } (\Omega_+^k(K)) = \sigma\text{-weak} \lim_{t \rightarrow \infty} \tau_{-t}^k \sigma_t .$$

(6.5) Each map  $\tau_t^k$  is a \*-map for  $k$  real in  $D$ ,  $t \in \mathbb{R}$ .

(6.6) We can regard the infinitesimal generator of  $(\tau_t^k)_*$  as representing  $i\{T + k(\alpha_*\beta_* - \bar{\alpha}_*\bar{\beta}_*)\}$ . This can be formally justified as in 5.11.

We now consider the automorphism property.

HYPOTHESIS 6.7.

Suppose  $v \in M_*$  has the following properties:

i)  $v$  and  $v^* \in D_{\beta_*}$

ii) There exist  $\xi_1, \eta_1, f_1, g_1$  in  $\mathcal{K}$  satisfying

$$\begin{aligned} \langle m, \beta_* v \rangle &= \sum \langle m \xi_1, \eta_1 \rangle \\ \langle m, \beta_* v^* \rangle &= \sum \langle m f_1, g_1 \rangle \quad m \in M, \end{aligned}$$

$$\text{where } \sum \|\xi_1\| \cdot \|\eta_1\| < \infty, \quad \sum \|f_1\| \cdot \|g_1\| < \infty .$$

iii)  $\xi_1, f_1 \in D_A$  and  $\sum \|A\xi_1\| \cdot \|\eta_1\|, \sum \|A f_1\| \cdot \|g_1\| < \infty .$

Then we say  $\alpha, \beta$  satisfy hypothesis 6.7, if for all such  $v$ ,

$$\sum \langle A \xi_1, \eta_1 \rangle = \sum \langle g_1, A f_1 \rangle .$$

THEOREM 6.8.

Under the conditions of Theorem 6.2 and Hypothesis 6.7,  $\tau_t^k$  is a group of

automorphisms for all  $k$  in  $D$ ,  $t$  in  $\mathbb{R}$ .

PROOF.

It is enough to show that  $\rho_t^k(1) \sigma_t^k(1) = 1$ , for all  $t$  in  $\mathbb{R}$ ,  $k$  in  $D$ .

This is achieved by showing that

$$\sigma_{-t}[\sigma_t^k(1)^*] = \sigma_{-t}^k(1) \quad t \in \mathbb{R}, \quad k \in D;$$

i.e. we will inductively show that

$$\sigma_{-t}[\hat{U}_m(t)(1)^*] = U_m(t)(1) \quad \text{for } m \geq 0. \quad (6.9)$$

Let  $w$  denote the vector state  $\xi \otimes \bar{\eta}$ , where  $\xi, \eta \in \mathcal{H}$ .

Then  $\langle U_m(t)(1)\xi, \eta \rangle = \langle S_{m-1}(1), Z_t(w) \rangle$

$$= \lim_{n \rightarrow \infty} \int_0^t \langle (P_n A) U_{m-1}(s)(1), \beta_* e^{-iT(t-s)} w \rangle ds$$

and  $\langle \sigma_{-t} \hat{U}_m(t)(1)\eta, \xi \rangle = \langle \hat{S}_{m-1}(1), \hat{Z}_t[(\sigma_{-t})_* w^*] \rangle$

$$= \langle \hat{S}_0(1), (C_*)^{m-1} Z_t[(\sigma_{-t})_* w^*] \rangle$$

$$= \lim_{n \rightarrow \infty} \int_0^t \langle (P_n A) \hat{U}_0(s)1, \beta_* \hat{U}_{m-1}(t-s)_*(\sigma_{-t})_* w^* \rangle ds.$$

Thus 6.9 follows by induction and our hypothesis.

Hence

$$\begin{aligned} \rho_t^k(1) \sigma_t^k(1) &= [\sigma_t^k(1)] \sigma_t^k(1) = \sigma_t[\sigma_{-t}^k(1)]. \sigma_t^k(1) \\ &= \sigma_t\{\sigma_t^k(1) \sigma_{-t}^k(1)\} = \sigma_t\{\sigma_{-t}^k[1 \cdot \sigma_t^k(1)]\} \\ &= \sigma_t(1) = 1. \end{aligned}$$

The above theorem can be regarded as the algebra level analogue of [4, (4.1)].

REMARK 6.10.

It is possible to treat potentials of the form  $\sum \beta_n \alpha_n$  using our method, if we replace  $\mathcal{Y}_M(\mathbb{R}^+)$  by  $\mathcal{Y}_M(\mathbb{R}^+ \times \mathbb{N})$ , the natural subspace in  $B(\mathcal{X}, L^Q(\mathbb{R}^+ \times \mathbb{N}; \mathcal{H}))$ .

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